

FACTS

WHAT DOES FOCUS FINANCIAL ADVISORS DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and date of birth ■ Personal financial and health information ■ Account balances and transaction history
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Focus Financial Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Focus Financial Advisors share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	Not applicable
For our affiliates' everyday business purposes— information about your transactions and experiences	No	Not applicable
For our affiliates' everyday business purposes— information about your creditworthiness	No	Not applicable
For nonaffiliates to market to you	No	Not applicable

To limit our sharing	<ul style="list-style-type: none"> ■ Call 800-687-6551 —our menu will prompt you through your choice(s) or ■ Visit us online: https://www.focusfinancialadvisors.com/ <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 800-687-6551 or go to https://www.focusfinancialadvisors.com/
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Who we are

Who is providing this notice?

Focus Financial Advisors

What we do

How does **Focus Financial** protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does **Focus Financial** collect my personal information?

We collect your personal information, for example, when you

- Open a custodial account or effect transactions in your account
- Obtain advice from us or have us create a financial plan
- Provide us with your contact information or other personal data

We may also obtain your personal information from your custodian or brokerage firm.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to all account holders unless you tell us otherwise.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Focus Financial Advisors does not have any affiliates.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Focus Financial Advisors does not share information with non-affiliates for marketing purposes.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Focus Financial Advisors does not engage in any joint marketing arrangements.*

Other important information

We may share your personal information with nonaffiliated third parties that we use in providing services to you, such as software vendors or website application providers. In such cases, we require strict confidentiality in our agreements with these unaffiliated third parties.